ORM PTO-1390 (Modified) REV. 2-2005) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

Application Data Sheet/Notice of Priority/PCT/IB/308/

PTO-1449/Cited References (2)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

278561US0PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP04/03610

INTERNATIONAL FILING DATE 06 April 2004

PRIORITY DATE CLAIMED 09 April 2003

TITLE OF INVENTION

HYDROSOLUBLE POLYMERS EXHIBITING IMPROVED SOLUBILITY CHARACTERISTICS, THE PRODUCTION AND USE THEREOF APPLICANT(S) FOR DO/EO/US Norbert STEINER, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: \boxtimes This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). \boxtimes has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 🗆 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. \boxtimes are attached hereto (required only if not communicated by the International Bureau). a. 🗆 b. 🗆 have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. c. 🗆 \boxtimes have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). \boxtimes A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 23 below concern document(s) or information included: 13. \boxtimes An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. 15. A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17 A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21. 22 Express Mail Label No. \boxtimes 23. Other items or information:

JC05 Rec'd PCT/PTO 0 6 0 CT 20 PD-1390 (Rev. 02-2005)
Approved for use through 35 72007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NOTIFICATION NO.						ATTORNEY'S DOCKET NUMBER			
PC1/EP04/03610							278561US0PCT		
The following fees are submitted:							CALCULATIONS	PTO USE	
24. Basic national fee							\$ \$300.00		
25. ⊠ Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)							\$ \$200.00		
26. ⊠ Search fee							\$ \$400.00 \$ \$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole				1	RATE			
- 100 =	0 /50 =	0			× :	\$250.00	\$ \$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).							\$ \$130.00		
, CLAIMS	CLAIMS NUMBER FILED NUMBER EXTRA RATE								
Total claims	22	- 20 =	2	x		\$50.00	\$ \$100.00		
Independent clair	ms 1	- 3=	0	x		\$200.00	\$ \$0.00		
MULTIPLE DEPENDENT CLAIMS (if applicable) → \$360.00							\$ \$360.00		
TOTAL OF ABOVE CALCULATIONS =							\$ \$1,490.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$ \$0.00		
SUBTOTAL =							\$ \$1,490.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$ \$0.00		
TOTAL NATIONAL FEE =							\$ \$1,490.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							\$ \$0.00		
TOTAL FEES ENCLOSED =							\$ \$1,490.00		
							Amount to be	\$	
							Amount to be	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of to cover the above fee A duplicate copy of this sheet is enclosed.									
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))									
SEND ALL CORRESPONDENCE TO:							heurch Sachas		
Customer Number SIGNATURE									
Norman F							. Oblon		
Surinder Sachar NAME									
Registration No. 34,423 24,618									
REGISTRAT							TION NUMBER		

DOCKET NO.: 278561US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Norbert STEINER, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/EP04/03610

INTERNATIONAL FILING DATE: April 6, 2004

FOR: HYDROSOLUBLE POLYMERS EXHIBITING IMPROVED SOLUBILITY

CHARACTERISTICS, THE PRODUCTION AND USE THEREOF

REQUEST FOR PRIORITY UNDER 35 U.S.C. 119 AND THE INTERNATIONAL CONVENTION

Commissioner for Patents Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

COUNTRY

<u>APPLICATION NO</u>

DAY/MONTH/YEAR

Germany 103 16 476 09 April 2003

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/EP04/03610.

Respectfully submitted, OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Customer Number 22850

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